Data structure for representing maximal repeats in strings

Master thesis

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Bratislava 2007

Abstract

In this diploma thesis we present data structure for representation of maximal repeats in strings -R3 tree, based on well known data structure - suffix tree. It requires O(n) space and it can be constructed in $O(|\Sigma|n)$ time and O(n) space for string of length n over alphabet Σ . We formalize repeat in string S as triple (p_1, p_2, l) , where p_1, p_2 are two distinct positions in S and l is the length of the repeat. We formulate query for maximal repeats in S in the form of the function $findPairs(p_1, k, S)$ that returns all pairs (p_2, l) such that (p_1, p_2, l) is maximal repeat with $l \ge k$. R3 tree allows computation of findPairs queries in optimal time O(z), where z is the number of found pairs. We also describe design and functionality of R3lib – library written in C, for finding maximal repeats in arbitrary binary data, that works with proposed structure.

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1 Introduction

The most known motivation for maximal repeat algorithms comes from bioinformatics. The computation of maximal repeats in strings plays an important role in the analysis of genomic sequences. In general this area stimulates majority of research in area of string algorithms today. There are several other motivations for finding duplication in any data. Repeat discovery may help avoiding redundancy and can be useful in text analysis. For example, it is a good practice to avoid duplication in program source code because of the danger of bug fixes being applied to one copy but not all the others.

There are algorithms and software tools for finding all maximal repeats in a string. Optimal algorithm for finding all maximal repeats was first described in Baker93. This algorithm is based on suffix trees and finds all maximal repeats in $O(n.log|\Sigma|+z)$, where n is length of the string, $|\Sigma|$ is size of the alphabet and z is number of maximal repeats (output size). A space efficient version of this algorithm using suffix arrays is described in AbuOhl04. There are tools that can efficiently find maximal repeats in genome sequences, for example Vmatch¹ (new version of REPuter) and also recent version of MUMmer².

Maximal number of all maximal repeats in a string S of size n is $O(n^2)$. Some applications may occur, where we don't want to see all maximal repeats at once, but interactively analyze data or text and see only maximal repeats starting at position in currently viewed segment. Our approach is to build a data structure representing all maximal repeats in the data, that could answer such queries quickly. It turns out, that such structure requires only linear space and also can be constructed in linear time and space.

¹ http://www.vmatch.de/

² http://mummer.sourceforge.net/

2 Definitions and notation

basic notions
definition of tree
definition of suffix tree
definition of suffix array
definition of lcp-table
definition of lcp-interval tree
isomorphism of suffix tree and lcp-interval tree

3 R3 tree

definition of lc-buckets
definition of lc-bucket tree
findPairsNonOptimal query on lc-bucket tree
proof of correctness of findPairsNonOptimal
problems of findPairsNonOptimal
definition of union tree
linearity of union tree
definition of R3 tree
linearity of bpsize pointers
optimal findPairs query on R3 tree
proof of correctness of findPairs
proof of optimality of findPairs

4 R3Lib implementation

implementation of union trees
memory structures of R3 tree
space requirements of R3 tree
algorithm for construction of R3 tree
up table implementation
optimal findPairs query implementation